## IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF GEORGIA ATLANTA DIVISION

SECURITIES AND EXCHANGE : COMMISSION. :

.

Plaintiff, : Civil Action No.

•

v. : 1:12-CV-2296-TCB

:

AUBREY LEE PRICE;

PFG, LLC; PFGBI, LLC;

MONTGOMERY ASSET

MANAGEMENT, LLC f/k/a PFG

ASSET MANAGEMENT, LLC, (Florida

Limited liability company); and

MONTGOMERY ASSET MANGEMENT,

LLC f/k/a PFG ASSET MANAGEMENT,

LLC,(Georgia limited liability company)

:

Defendants.

:

## RULE 5.4 CERTIFICATE OF SERVICE OF DISCOVERY

Pursuant to Local Rule 5.4(a) of the United States District Court for the Northern District of Georgia, and other applicable rules of the United States District Courts, Melanie E. Damian, Esq. as receiver (the "Receiver") over all assets of Defendant Aubrey Lee Price, PFG, LLC, PFGBI, LLC, Montgomery Asset Management, LLC, and Montgomery Asset Management, LLC files this Certificate of Service. This is to certify that the

Receiver has served the Subpoena to Testify at a Deposition attached hereto as "Exhibit A" on the following entity by either facsimile, email, or United States

Mail, with adequate postage affixed thereto, addressed as follows:

W. Shawn Murnahan, Esq. Securities and Exchange Commission 950 East Paces Ferry Road, N.E., Suite 900 Atlanta, GA 30326 murnahanw@sec.gov

Robert J. Waddell , Jr. McGuire Woods LLP-GA 1230 Peachtree Street, N.E. Suite 2100, Promenade II Atlanta, GA 30309 404-443-5500

Fax: 404-443-5599

Email: rwaddell@mcguirewoods.com

Mount Vernon Bank c/o Donald M. Thigpen, Registered Agent 2109 East First Street Vidalia, GA 30474

Brown Rountree, PC c/o Charles H. Brown, Esq. 26 North Main Street Statesboro, GA 30459 Fax: 912-764-2251 Dated: October 23, 2013.

Respectfully submitted,

**HOLLAND & KNIGHT LLP** 

By:/s/ Paul E. Vranicar
John M. Hamrick
Georgia Bar No. 322079
Paul E. Vranicar
Georgia Bar No. 288926

1201 West Peachtree Street, N.E. One Atlantic Center, Suite 2000 Atlanta, Georgia 30309-3453

Phone: 404 817 8500 Fax: 404 881 0470 john.hamrick@hklaw.com paul.vranicar@hklaw.com

### DAMIAN & VALORI LLP

Kenneth Dante Murena Florida Bar No. 147486 (admitted pro hac vice)

1000 Brickell Avenue Suite 1020 Miami, FL 33131 Phone: 305 371 3960

# **EXHIBIT A**

AO 88A (Rev 06/09) Subpoena to Testify at a Deposition in a Civil Action

## UNITED STATES DISTRICT COURT

for the

Northern District of Georgia

Northern Di	Strict of Georgia
SECURITIES AND EXCHANGE COMMISSION  Plaintiff  v.  AUBREY LEE PRICE, et al.  Defendant	) ) Civil Action No. 12-CV-2296-TCB ) (If the action is pending in another district, state where: )
SUBPOENA TO TESTIFY AT A	A DEPOSITION IN A CIVIL ACTION
To: Dan McSwain, c/o Simon Malko, Esq. Morris, Manning & Martin, LLP, 1600 Atlanta Financ	cial Center, 3343 Peachtree Road, NE, Atlanta, GA 30326
deposition to be taken in this civil action. If you are an o	ear at the time, date, and place set forth below to testify at a organization that is not a party in this case, you must designate esignate other persons who consent to testify on your behalf hment:
Place: 1600 Atlanta Financial Center 3343 Peachtree Road, NE Atlanta, GA 30326	Date and Time: 11/07/2013 10:30 am
The deposition will be recorded by this method:	Court Reporter
	also bring with you to the deposition the following documents, permit their inspection, copying, testing, or sampling of the analy way to the acquisition by PFGBI, LLC of the majority
	to your protection as a person subject to a subpoena, and Rule opoena and the potential consequences of not doing so, are
Date:  CLERK OF COURT  Signature of Clerk or Deputy	Clerk Sitorgey's signature
The name, address, e-mail, and telephone number of the amendment of the amendment of the Estate of Aubrey Guy F. Giberson, P.A.  Damian & Valori LLP, 1000 Brickell Avenue, Suite 1020, M (305) 371-3960 ggiberson@dvllp.com	Lee Price , who issues or requests this subpoena, are:

AO 88A (Rev 06/09) Subpoena to Testify at a Deposition in a Civil Action (Page 2)

Additional information regarding attempted service, etc:

Civil Action No. 12-CV-2296-TCB

#### PROOF OF SERVICE

(This section should not be filed with the court unless required by Fed. R. Civ. P. 45.)

This subpoena for (name of individual as	nd title, if any: Dan Mo	Swain		
was received by me on (date)	•			
I served the subpoena by delivering	ng a copy to the name	d individual as follow	rs:	
Via E-mail on smalko@mmmlaw.cor				
	•	on (date)	; or	
☐ I returned the subpoena unexecute	ed because:			
Unless the subpoena was issued on be tendered to the witness fees for one of			-	
\$ 62.70				
My fees are \$ for tra	vel and \$	for services, fo	or a total of \$	0.00
I declare under penalty of perjury that	nt this information is t	rue.		
Date:	A Si A to March and considerably substitution on	Server's signal		
	ma whom	Guy F. Giberson		
		Damian & Valo 1000 Brickell Avenue Miami, FL 33	, Suite 1020	
		Server's addr	ess	

### **CERTIFICATE OF SERVICE**

I HEREBY CERTIFY that a true and correct copy of the foregoing has been

served via U.S. Mail, or e-mail (where indicated) upon:

W. Shawn Murnahan, Esq.
Securities and Exchange Commission
950 East Paces Ferry Road, N.E., Suite 900
Atlanta, GA 30326
murnahanw@sec.gov

Aubrey Lee Price 4616 Rainwood Circle Valdosta, GA 31602

Robert J. Waddell , Jr. McGuire Woods LLP-GA 1230 Peachtree Street, N.E. Suite 2100, Promenade II Atlanta, GA 30309 404-443-5500

Fax: 404-443-5599

Email: rwaddell@mcguirewoods.com

/s/ Paul E. Vranicar
Paul E. Vranicar, Esq.
Georgia Bar No. 288926

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